

Integrating Psychological Behaviors in the Rational Process of Conversational Assistant Agents

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Abstract

In this paper, we describe a framework dedicated to studies and experimentations upon the nature of the relationships between the rational reasoning process of an artificial agent and its psychological counterpart, namely its behavioral reasoning process. This study is focused on the domain of Conversational Assistant Agents, which are software tools providing various kinds of assistance to people of the general public interacting with computer-based applications or services. In this context, we show on some examples the need for the agents to be able to exhibit both a rational reasoning about the system functioning and a human-like believable dialogical interaction with the users.

Introduction

Adding psychological behaviors to rational agents

According to traditional definitions stemming from Artificial Intelligence and Multi-Agent Systems, Rational Agents are associated with programs capable of Practical Reasoning, *i.e.* building plans and choosing actions to be executed, in order to achieve their goals. For example, SOAR-based architectures are one of the first attempts at modeling the cognitive reasoning process of an agent (Laird, Newell, and Rosenbloom 1987) by means of explicit IF-THEN rules. More recently, the BDI approach of Bratman (1990), Rao and Georgeff (1995) is a theory of practical reasoning (deciding what to do next) directed towards situated reasoning about actions and plans (Allen et al. 1991). Recently, authors have proposed to integrate into rational agent architectures psychological notions, in order to propose: 1) a more complete cognitive models of agents; 2) agents capable of sustaining more human-like interactions with people, especially ordinary people involved in conversational activities with assistant agents. For example, Gratch and Marsella (2004) have proposed a model of emotions based on SOAR, with a significant impact upon the SOAR architecture. Using the agent creation platform JACK that implements the BDI theory, CoJACK (Norling and Ritter 2004; Evertsz et al. 2008) is an extension layer intended to simulate physiological human constraints like the duration taken for cognition, working memory limitations (*e.g.* “loosing a belief” if the activation is low or “forgetting the next step” of

a procedure), fuzzy retrieval of beliefs, limited focus of attention or the use of moderators to alter cognition. Emotions have also been integrated to the BDI framework, for instance with eBDI (Jiang, Vidal, and Huhns 2007) or KARO (Stenebrink, Dastani, and Meyer 2007). All those works provide a good introduction about the history of the necessity to implement emotions, and more generally psychological notions, into rational agents.

Although there has also been a lot of research works about the effect of personality on agents’ behaviors in the virtual agents community (one of the most recent one being the SE-MAINE project (Bevacqua et al. 2010)), they generally focus more on their impact on the animated agent (*e.g.* gaze or facial expressions) than on the rational decision process.

Adding psychological behaviors to agents

Psychological behaviors can play a major part in Conversational Assistant Agents (CAA), at the crossroad between:

Assistant Agents (Maes 1994), which are software tools designed to assist, in many ways, people involved in a computer-based or computer-mediated activity. The scope of application of assistant agents covers several roles such as: presenters, helpers, companions, teachers, coaches, *etc.* Research on assistant agents is based on artificial intelligence reasoning over symbolic representations and they focus on the notion of *rational agent*.

Conversational agents (Cassell et al. 2000), which are often embodied as virtual characters interacting with people through a dialogical session involving various modalities: textual or spoken natural language, body gestures, facial emotions, actions in the interface or environment, *etc.* Most conversational agents are given a personality and are hence supposed to interact with people according to the character they endorse: social role, personality traits, mental preferences, affects and moods. Research on conversational agents focuses on modeling *human psychology* (mental states, emotions, *etc.*) and its expression in conversational sessions.

Although presented above as separated notions, the rational and the psychological reasoning capacities of an agent actually work in quite an intricate manner (Ellsworth and Scherer 2003; Frijda 2006). Moreover, most studies mentioned in section focus on low-level/transitory psychological notions (such as emotions and moods, *e.g.* for natural language interaction (Allbeck and Kress-Gazit 2010)), while other notions associated with high-level/long-lasting

ask R A P	L asks for an information or checks if the proposition currently stands or if the action is known by the int.	Knowledge <div style="border: 1px solid black; padding: 5px; width: fit-content; margin-top: 10px;"> R reference A action P proposition V value </div>
tell P	L states an information or that the proposition currently stands	
reply V	L gives a value as an answer of request (ask,...) from the interlocutor	
know R A P	L states that he knows something about the content (when P, means he thinks it is true)	
unknown R A P	L states that he knows nothing about the content (or if P is true or false)	
mistrust P V	L states that he thinks that the value is probably erroneous or the proposition is probably false	
why P V	L asks why the proposition is currently standing or why the value has been replied	
possible A	L asks if it is possible (availability, rights...) for him or the agent to execute the action	
how A	L asks how to do the action/procedure	
effect A	L asks what will be the consequences of performing the action A	
execute A R	L commands the interlocutor to execute the action or to activate the main function of the referenced object	Action
repeat -	L commands the interlocutor to execute again the last executed action	
undo -	L commands asks the interlocutor to undo the last executed action	
suggest A P	L encourages/suggests/allows the interlocutor to execute the action / to adopt the proposition as a goal	
object A P	L discourages/objects/forbids the interlocutor to execute the action / to adopt the proposition as a goal	
intent A P	L states that he has the intention to execute the action in the near future / he has just adopted the proposition as a goal	
judge P	L expresses a subjective opinion by stating the proposition	Feelings
feel P	L expresses a subjective feeling by stating the proposition	
like R A P V	L expresses a subjective preference/liking for the content (sub case of judge)	
dislike R A P V	L expresses a subjective dis-preference/disliking for the content (sub case of judge)	
bravo -	L congratulates the interlocutor about the topic	
criticize -	L criticizes the interlocutor about the topic (e.g. contains abuse)	
agree -	L replies yes to a yes/no question or agree with the topic	Dialogue
disagree -	L replies no to a yes/no question or disagree with the topic	
greet	L greets the interlocutor at the beginning of the session	
bye	L asks for the session to end	

'L' stands for the locutor (the user or the agent in replies)
'-' stands for the current focus of the dialogue session (IT)

Figure 2: List of main *FRL* performatives (left) with defining short-phrases (right)

Notations and languages

Formal Request Language (*FRL*)

In previous work (*cf.* footnote 2), we have implemented a NLP-chain dedicated to assistance-related interaction between novice users and CAAs. This work made it possible to collect a domain-oriented corpus of 11 000 help utterances and it enabled the analysis of the linguistic domain related to the function of assistance (Bouchet and Sansonnet 2009b). In this paper, as we focus on the agent’s architecture, we will rely on a simplified version of the Formal Request Language (*FRL*), as shown in Fig. 1; we just give the main notations, leaving aside complex cases like reported speech, conditional commands, past/future, not to speak of grounding issues, input noise *etc.*

A basic *FRL* request is of the form $F_{loc}(X)$ where:

- F is the performative (not unlike the DAMSL approach to speech acts (Core and Allen 1997)) or, of one of the four classes: **Knowledge**, **Action**, **Feeling**, **Dialogue**. When necessary, *loc* indicates the locutor (the user U or the agent A).
- X is the content, of one of the four classes: **Reference**, **Action**, **Proposition**, **Value**.

The model of the agent

Structure Basically, the model \mathcal{M} is an evolving tree structure (as in evolving algebra for abstract state machines (Gurevich 1995)). Given a new session, the model \mathcal{M}_0 starts at t_0 : $\mathcal{M}_0 = \langle \mathcal{A}_0, \emptyset, \mathcal{T}_0, \emptyset \rangle$, where \mathcal{A}_0 is the submodel of a given agent and \mathcal{T}_0 is the submodel of a given application. \mathcal{M} non terminal nodes are labelled by concepts (a concept is a symbol or an index) and terminal nodes are conventional values (Symbols, Numbers, Booleans, Strings).

Table 1: Main *MQL* functions

Query name	Query action
GET[path]	returns the subtree from n
COUNT[path, expr]	returns the number of subtrees from n with value expr
SET[path, expr]	replaces n by expr
MAP[path, func]	replaces n by func(n)
ADD[path, expr]	appends expr to n
DEL[path, s_i]	deletes subtree of head s_i in n
VOID[]	does nothing

Model Query Language (*MQL*) The model is accessed by the agent or by the application using the Model Query Language (*MQL*). The main access functions, used in the examples of the last section, are described in Table 1, where *path* stands for a tree path expression $\mathcal{M}.s_1.s_2...s_n$ (s_i being node labelling symbols), *n* is the node referred to by *path* and *expr* is a terminal value or a subtree. The replies are of the form OK[result], or FAIL[report] if it fails.

Mental model of the agent

Of the four submodels of \mathcal{M} , the most specific to this paper is \mathcal{A} that supports the representations of the agent’s mind. The R&B framework can support various mental models, defining various agents, provided they are expressed in the formalism of the model \mathcal{M} . As an example, we define here a specific mind model supporting the case studies presented in the last section. It covers most significant notions discussed in the mental states modeling literature (Ortony 2003) de-

Table 2: The four types of agent’s mental states

Dynamicity	Arity	
	Unary	Binary
Static	Trait Ψ_T	Role Ψ_R
Dynamic	Mood Ψ_m	Affect Ψ_a

spite some simplifications (e.g. we consider traits and roles are static during a dialogue session). This model distinguishes four types of mental states according to their dynamicity and to their arity, as summarized in Table 2. Each of them is associated with a value in $[-1.0, 1.0]$, where 1.0 denotes the maximum intensity of the concept, -1.0 is the maximum intensity of the antonym of the concept and 0 stands for the “neutral” position (neither the concept nor its antonym stand).

Unary categories: the agent is viewed as autonomous:

Traits (Ψ_T) correspond to typical personality attributes that can be considered as stable during the agent’s lifetime, implemented using the classical “Five Factors Model” of personality traits (Goldberg 1981):

- *Openness*: appreciation for adventure and curiosity,
- *Conscientiousness*: self-discipline, will to achieve goals,
- *Extraversion*: energy, strength of positive emotions and tendency to seek company of others,
- *Agreeableness*: being compassionate and cooperative,
- *Neuroticism*: tendency to feel negative emotions.

Moods (Ψ_m) are agent’s factors varying with time thanks to heuristics and according to previous state of the agent and to the current state of the world. We define:

- *Happiness*: physical contentment wrt current situation,
- *Satisfaction*: cognitive contentment wrt current situation,
- *Energy*: agent’s physical strength,
- *Confidence*: agent’s cognitive strength.

Binary categories: (also called interpersonal categories) the agent A interacts with another actor, called U.

Roles (Ψ_R) represent a static relationship between the agent and U. We define two main categories of roles:

- *Authority*: the right the agent feels to be directive and reciprocally not to accept directives from others. This role is often antisymmetric, i.e.: $\text{Authority}(X, Y) = -\text{Authority}(Y, X)$
- *Familiarity*: the right the agent feels to use informal behaviors towards U. This role is often symmetric.

Affects (Ψ_a) denote, in this particular model, dynamic relationships between the agent and U. We define three kinds of affects:

- *Dominance*: the agent feels powerful relatively to U. This relationship is often antisymmetric.
- *Cooperation*: the agent tends to be nice, and helpful with U. It is not necessarily symmetric.
- *Trust*: the agent feels it can rely on U. It is not necessarily symmetric.

Shortened notation: The actual value of a mind attribute like “happiness” can be accessed by its full path in the model tree ($\mathcal{M}.A.mind.mood.happiness.val$) or by using a short-

ened notation of the mind model (M_h). Moreover, although in the model attributes values $v \in [-1, 1]$, it is often more convenient to consider a five-level scale based on a discrete partition of the domain $[-1, 1]$ into five contiguous intervals: $< - = + >$ (e.g. $>$ is $[0.8, 1]$), and intervals can be grouped by juxtaposing them, e.g. $M_h^{+>} \wedge A_c^{<}$ means that the agent is happy or strongly happy and completely antagonistic.

Query Description Language (QDL)

A query is an element of \mathbb{W} that *wraps* a request written in *FRL* or *MQL* and provides extra attributes. It has the following structure and shortened notation:

$$Q_i = [\text{val}[\{r\}\{r_i\}], \text{history}[\{D, R, \dots\}], \text{to}[M], \text{status}[+]] \\ = Q_i.val_{Q_i.history|Q_i.to}^{Q_i.status} = \{r_1, \dots, r_n\}_{\{D, R, \dots\}|M}^+$$

Where:

- $i \in \mathbb{N}^+$ absolute identifier of a query
- val contains one or a sequence of *FRL* | *MQL* requests
- $\text{history} \in \{D, R, B, M\}^*$ stack of engines that handled Q_i
- $\text{to} \in \{D, R, B, M\}$ next engine meant to treat Q_i
- $\text{status} \in [\emptyset, -, +]$ success status of Q_i

Note that although a query Q_i can be given a destination (field ‘to’), it doesn’t prevent other engines to access Q_i while it is in the workspace \mathbb{W} and to possibly alter it.

Implementation of the heuristics

Heuristic Description Language (HDL)

HDL makes it possible for both rational and psychological designers to handcraft rules. The main reason for this choice is that the R&B framework is dedicated to experimental studies: designers will have to share and read heuristics from others (e.g. see examples proposed below); besides we are not at the stage where a rule-learning process (inductive or other) can be easily implemented.

Syntax A heuristic defines a rational or behavioral reaction to a class of formal requests expressed in *QDL* (defined by a pattern matching expression). Its general form is:

$H : \text{id}[QDL \text{ pattern}] : -\{\text{GuardedScript}_1, \dots, \text{GuardedScript}_n\}$

Where:

- $\text{GuardedScript} \equiv \{\text{Guard}_1 \rightarrow \text{Script}_1, \dots, \text{Guard}_n \rightarrow \text{Script}_n\}$
- $\text{Guard}_i \equiv \text{Logical expr} \mid \emptyset \ (\emptyset = \text{True})$
- $\text{Script}_i \equiv \text{Instruction} \mid \{\text{Instruction}_1, \dots, \text{Instruction}_n\}$
- $\text{Instruction}_i \equiv \text{Basic operation} \mid \text{Query call} \mid \text{GuardedScript}$
- $\text{Query call} \equiv Q[\text{Query id}, \{FRL \text{ req} - MQL \text{ req}\}]$

Note that instructions can recursively be guarded scripts.

Dynamics In the R&B framework, for a given case study, a set of heuristics can be defined and associated with any of the four engines D, M, R and B (here, we only discuss those associated with R and B). Their execution is performed by the Heuristic Scheduler (HS) which ensures their coroutining and achieves its control at two levels:

- within a given heuristic H , it decides when instructions (guard \rightarrow script) should be executed,
- within a given R&B case study, it decides when engines and heuristics should take a turn.

As guards in heuristics can overlap, several *execution policies* can be selected (e.g. first-hit-exit, execute-all, random-choice...), and as a guard can remain active (*true*)

after the execution of its script, several *repetition policies* can also be selected (e.g. execute-once, loop-over). Moreover, since in the same engine or in distinct ones, several heuristics can match one query or several queries situated into \mathbb{W} , again, several *heuristic policies* (behavior-first, rational-first, alternate-M/B) and *query policies* (FIFO-based, random choice...) are possible.

The principle of genericity, stated in the first section, compels the R&B scheduler to be parametrizable to enable various simulations. For the case studies presented below, we will use a single scheduling policy, such that:

– *Within heuristics*: all instructions with active guards (*true*) are executed; when several guards are simultaneously active, a random choice is performed; instructions are only executed once; a heuristic is terminated when all its instructions are executed (which may never happen – hence, \mathbb{W} is cleared after each request handling).

– *Between heuristics*: all heuristics that match a query object in \mathbb{W} are launched (i.e. coroutined with the already launched ones). When a heuristic is terminated, it can be launched again (but no reentrance is available). When several heuristics (even associated with different engines) are eligible, a random choice is performed, thus resulting in various R&B interleaved executions (cf. last example).

Case study 1: Asking for information

Assume the user puts the question “What is your age?”, resulting in the addition to the workspace of $Q_1 = \{\text{ASK}_u[\text{agent.age}]\}_{\{D\}|R}^\emptyset$

A simple rational reaction: A possible rational heuristic that can handle questions about the agent’s attributes is:

```

1:  $H_{R1} : \text{ask-agent-attribute}[\{\text{ASK}_u[\text{agent.x-}]\}_{\perp}] :- \{$ 
2:    $\rightarrow Q[i, \text{GET}[\text{x-}]],$ 
3:    $Q_i^+ \rightarrow Q[j, \text{TELL}_a[\text{agent.x-}, Q_i.\text{val}]],$ 
4:    $Q_i^- \rightarrow Q[j, \{\text{UNKNOWN}_a[\text{agent.x-}],$ 
   :    $\text{TELL}_a[Q_i.\text{val}]\}]$ 
5:    $Q_i^{-\emptyset} \rightarrow Q_{\text{this}}^+$ 
6:  $\}$ 

```

Explanations:

1: x_- is a pattern variable matching any symbol like age, gender...
2: The empty guard prompts the script to be executed immediately. In Q_1 , x_- being ‘age’ (shortcut for full path $\mathcal{M.A.age.val}$), it creates a new query Q_i to retrieve this value from the model.
3: If the request in Q_i has been successful ($Q_i.\text{status} == +$), *FRL* request $\text{TELL}_a[\text{agent.x-}, Q_i.\text{val}]$ is wrapped into a new query Q_j , and $Q_i.\text{val}$ contains a *MQL* request $\text{OK}[\text{retrieved-val}]$.
4: If the request in Q_i has been unsuccessful ($Q_i.\text{status} == -$), a *FRL* answer in two parts is wrapped into a new query Q_j and $Q_i.\text{val}$ contains $\text{FAIL}[\text{report}]$.
5: Once Q_i has been handled, the current query Q_{this} is declared to have been successfully handled as well.
In any case, the request in Q_j is then retrieved by D to be sent to U .

Handling user’s repetitions: If the same request (in *FRL*) is issued several times during the same dialogical session, since a new wrapping query will be created for each of them, H_{R1} will generate exactly the same formal answer. But lack of handling of repetitions has been identified as a major cause

of the lack of human-likeness (Xuetao, Bouchet, and Sansonnet 2009) in CAA: rationality isn’t enough. A solution consists in using a simple rational heuristics to actually store the interaction with the user, like:

$$H_{R2} : \text{interact-mem}[\{(x_-)_u[y_-]\}_{\perp}] :- \{ \\ \rightarrow Q[i, \text{ADD}[\mathcal{S}, x_-[y_-]]]\}$$

And a behavioral one generating additional *FRL* and *MQL* queries, such as:

```

1:  $H_{B1} : \text{repetition}[\{(x_-)_u[y_-]\}_{\perp}] :- \{$ 
2:    $\rightarrow Q[i, \text{COUNT}[\mathcal{S}, x_-[y_-]]]$ 
3:    $Q_i^+ \wedge Q_i.\text{val} > 1 \rightarrow Q[j, \text{TELL}_a[\text{repetition}]]$ 
4:    $Q_i^+ \wedge Q_i.\text{val} > 2 \rightarrow Q[k, \text{MAP}[\text{coop}, \lambda x.x * 0.9]]$ 
5:    $Q_i^+ \wedge Q_i.\text{val} > 4 \rightarrow Q[j, \text{DISLIKE}_a[\text{repetition}]]$ 
6:  $\}$ 

```

Explanations:

2: Retrieval of the number of similar requests previous issued.
3&5: Extra information to the user reveals increasing boredom.
4: The agent modify its mind state in an appraisal-like reaction ($\text{coop} \equiv \mathcal{M.A.mind.mood.cooperation.val}$), with $\lambda x.x * 0.9$ being a λ -expression decrementing its argument by 10%.

Case study 2: Handling user’s feelings

Assume that the user hasn’t been satisfied by the agent previous reaction(s) and now expresses only her/his feelings about it with a force that can range on a scale from “I am not satisfied” to “I hate you!”. It results in the same class of *FRL* request, generating the query: $Q_2 = \{\text{DISLIKE}_u[\text{agent}]\}_{\{D\}|R}^\emptyset$

A simple behavioral reaction: Dealing with an emotional reaction can’t be rational and “objective”, and a possible behavioral reactions could be given by a heuristic like:

```

1:  $H_{B2} : \text{dislike-agent}[\{\text{DISLIKE}_u[\text{agent}]\}_{\perp}] :- \{$ 
2:    $\rightarrow \{$ 
3:      $Q[i, \text{MAP}[\text{energy}, \lambda x.x * 0.9]],$ 
4:      $Q[j, \text{MAP}[\text{confidence}, \lambda x.x * 0.9]],$ 
5:      $Q[k, \text{MAP}[\text{cooperation}, \lambda x.x * 0.9]]$ 
6:    $\}$ 
7:    $Q_i^+ \wedge Q_i.\text{val} < -0.5$ 
   :    $\rightarrow Q[l, \text{TELL}_a[\text{energy}, \text{“tired”}]]$ 
8:    $Q_j^+ \wedge Q_j.\text{val} < -0.5$ 
   :    $\rightarrow Q[l, \text{TELL}_a[\text{confidence}, \text{“depressed”}]]$ 
9:  $\}$ 

```

Explanations:

2–4: Executes a sequence of queries to modify agent’s mind state.
6: If its energy is very low, a query with a *FRL* request to say “I feel tired” is generated.
7: A second *FRL* request can be added to that query (if it already exists, to a new one if not).

Taking mood into account: Despite the purely emotional aspect of Q_2 , some rationality is still necessary, at least to remember user’s negative opinion of the agent with a heuristic like H_{R2} , we have:

$$H_{R3} : \text{dislike-mem}[\{\text{DISLIKE}_u[x_-]\}_{\perp}] :- \{ \\ \rightarrow Q[i, \text{ADD}[\mathcal{U}.\text{dislikes}, x_-]]\}$$

However, a basic rational reaction like that can be put into question by the agent’s current mind state. For instance, if the agent is currently in a high level of satisfaction and not neurotic $M_s^> \wedge T_n^{<-} =$, it would tend to be in denial when

facing negativity into user's utterances. A behavioral alteration upon this query put in \mathcal{W} then could be:

```

1:  $H_{B3} : \text{good-mood}[\{\text{ADD}[(\mathcal{A} - x).\text{dislikes}, x.] \}_-] :- \{$ 
2:  $M_s^> \wedge T_n^{<-} \rightarrow Q_{\text{this}}^+ \wedge Q_{\text{this}}.\text{val} = \text{VOID}[]$ 
3:  $\dots\}$ 

```

Explanations:

1: The agent refuses a *MQL* query adding a dislike into \mathcal{M} .

2: So it replaces it by a *MQL* request $\text{VOID}[]$ and declares the query as successfully handled.

Note that, depending on the order in which the heuristics are applied, several sequences can be produced: $\langle H_{R3}, H_{B3}, H_{B2} \rangle$ or $\langle H_{B2}, H_{R3}, H_{B3} \rangle$, thus resulting in a variety of reactions that in turn can be perceived by the users from simple variants to drastically different behaviors.

Implementation and conclusion

In previous works, a full CAA architecture has been implemented³, which encompasses the components of the R&B architecture defined in Figure 1. Moreover, it has enabled us to collect a corpus of assistance-based natural language utterances that resulted in the grounding of the *FRL* language (Bouchet 2009). Recently, a first toolkit to experiment R&B agents has been implemented (Bouchet and Sansonnet 2009a) in Mathematica. This toolkit can be freely accessed at the Web page of the R&B project (*cf.* footnote 1).

We have proposed a framework dedicated to the support of experimental case studies about the R&B problem: the nature of the relationships between rational and psychological reasoning. The particular context of the conversational assistant agents was chosen because this issue is central to the acceptability factor of those systems, hence providing a good test field. In future work, more case studies must be performed to confirm that the R&B framework actually provides a generic layer to experiment various strategies for integrating psychological behaviors into rational agents, as well as a validation using human subjects to evaluate the identification of implemented behaviors.

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³<http://www.limsi.fr/~jps/research/daft>